

# Zoli's arborescence packing theorems via matroid intersection

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**packing** = edge-disjoint subgraphs

Theorem (Tutte, Nash-Williams)

*In a graph  $D = (V, E)$ , there exists a packing of  $k$  **spanning trees** iff*

$$e_G(\mathcal{P}) \geq k(|\mathcal{P}| - 1)$$

*holds for every partition  $\mathcal{P}$  of  $V$ , where  $e_G(\mathcal{P})$  denotes the number of edges that are not induced by any set of the partition.*

Matroid structure behind

**graphic matroid** = independent sets are edge sets of forests in a graph  
 **$k$ -sum** of  $\mathcal{M}$  = independent sets can be partitioned into  $k$  independent sets of  $\mathcal{M}$ .

Union of  $k$  spanning trees = bases of the  $k$ -sum of the graphic matroid.

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**$s$ -arborescence** = directed tree s.t. each node is reachable from its root on a one-way path

$\varrho$  = the in-degree

Theorem (Edmonds)

*In a rooted digraph  $D = (V + s, A)$ , there exists a packing of  $k$  spanning  $s$ -arborescences iff*

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The Tutte–Nash–Williams-theorem follows from Edmonds' theorem by using Frank's orientation theorems.

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Let the independent sets of  $\mathcal{M}_0$  be the arc sets of  $D$  with maximum in-degree  $k$  on  $V =$  direct sum of the uniform matroids of rank  $k$  on the incoming arc sets of all vertices in  $V$ .

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$\mathcal{M}_2$ -restricted packing = the arc set is independent in  $\mathcal{M}_2$ .

$\partial_Z(X)$  = the arc set that enters  $X$  from  $Z - X$ .

Theorem (Frank)

*In a rooted digraph  $D = (V + s, A)$  with a matroid  $\mathcal{M}_2 = \bigoplus_{v \in V} \mathcal{M}_v$  on  $A$  as above, there exists an  $\mathcal{M}_2$ -restricted packing of  $k$  spanning  $s$ -arborescences iff*

$$r_2(\partial_{V+s}(X)) \geq k$$

*holds for every  $\emptyset \neq X \subseteq V$ .*

Proof.

Change  $\mathcal{M}_0$  to  $\mathcal{M}_2$  in the previous formulation and use Edmonds' theorem. □

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**Kamiyama, Katoh, Takizawa:** If there are no spanning arborescences... When is it possible to pack edge-disjoint “maximal” arborescences?

**Reachability**  $s$ -arborescence in  $D$ : an  $s$ -arborescence that spans each vertex which is reachable from  $s$  on a one-way path of  $D$ .

Theorem (Kamiyama, Katoh and Takizawa)

*In a digraph  $D = (V, A)$ , let  $R := \{s_1, \dots, s_k\}$  be a multiset of vertices in  $V$ . There exists a packing of reachability  $s_i$ -arborescences in  $D$  ( $i = 1, \dots, k$ ) iff*

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- 1 **matroid-rooted** graph/digraph  $(G = (V + s, E), \mathcal{M}_1) / (D = (V + s, A), \mathcal{M}_1)$ : a matroid  $\mathcal{M}_1$  is given on the set of **root edges/arcs** (leaving  $s$ ).

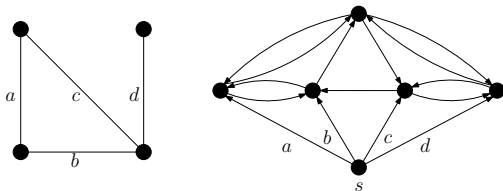
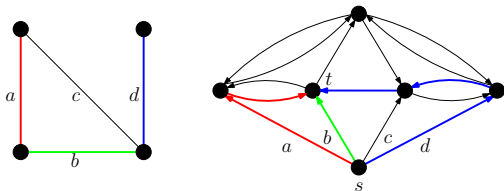


Figure: a matroid-rooted digraph

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Figure: an  $\mathcal{M}_1$ -based packing of  $(s, t)$ -paths

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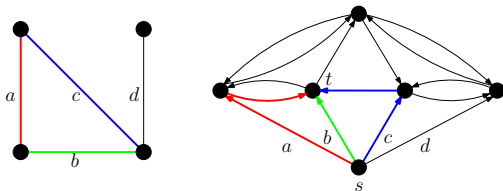
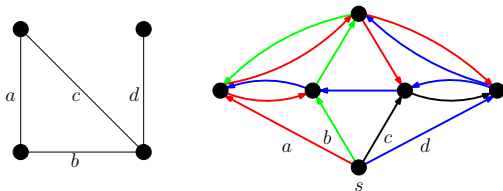


Figure: **Not** an  $\mathcal{M}_1$ -based packing of  $(s, t)$ -paths

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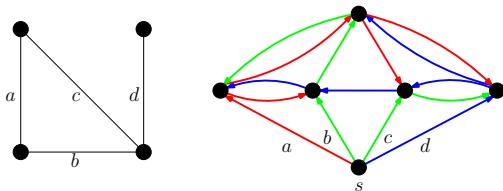


Figure: an  $\mathcal{M}_1$ -based packing of spanning  $s$ -arborescences ▶

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## Remark

**Menger type characterization:**  $\exists$  an  $\mathcal{M}_1$ -based packing of  $(s, t)$ -paths iff  $\varrho(X) \geq r_1(\partial_s(V)) - r_1(\partial_s(X))$  ( $\forall X \subseteq V$ ).

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## Question

Can the above theorems be extended for  $\mathcal{M}_1$ -based packings?



## Theorem (Katoh, Tanigawa)

In a matroid-rooted graph  $(G = (V + s, E), \mathcal{M})$  there exists an  $\mathcal{M}_1$ -based packing of *spanning*  $s$ -trees iff

$$e_G(\mathcal{P}) \geq r_1(\partial_s(V)) - \sum_{X \in \mathcal{P}} r_1(\partial_s(X)) \text{ for every partition } \mathcal{P} \text{ of } V.$$

### Matroid structure behind

Katoh and Tanigawa also proved that the  $\mathcal{M}_1$ -based packings of  $s$ -trees form the bases of the matroid induced by the following non-negative integer valued, monotone and intersecting submodular function:

$$b'(H) := k|V(H) - s| - k + r_1(H \cap \partial_s(V)) \quad \forall \emptyset \neq H \subseteq A,$$

i.e. the matroid  $\mathcal{M}_{b'}$  with independent sets

$$\mathcal{I}_{b'} := \{B \subseteq A : |H| \leq b'(H) \quad \forall \emptyset \neq H \subseteq B\}.$$

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Motivation from rigidity theory! (Title of this workshop: Combinatorial Optimization Day: Orientations, Matchings and **Rigidity**.)

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$$\rho(X) \geq r_1(\mathcal{M}) - r_1(\partial_s(X)) \quad (\forall \emptyset \neq X \subseteq V).$$

### Remark

The Katoh–Tanigawa-theorem follows from this theorem by using Frank's orientation theorems.

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Edge sets of  $\mathcal{M}_1$ -based packing of  $s$ -arborescences = common bases of  $\mathcal{M}_{b'}$  and  $\mathcal{M}_0$ .

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$$r_1(F) + r_2(\partial(X) - F) \geq r_1(\partial_s(V)) \text{ for all } \emptyset \neq X \subseteq V \text{ and } F \subseteq \partial_s(X).$$

## Proof.

Change  $\mathcal{M}_0$  to  $\mathcal{M}_2$  in the previous formulation and use the Durand de Gevigney–Nguyen–Szigeti-theorem. □

### Conjecture (Bérczi, Frank, T. Király, Kobayashi)

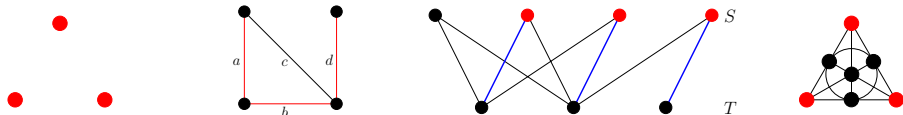
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## Examples

- 1 **Free** : all subsets of a set,
- 2 **Graphic** : edge-sets of forests of a graph,
- 3 **Transversal**: end-nodes in  $S$  of matchings of bipartite graph  $(S, T; E)$
- 4 **Fano**: subsets of sets of size 3 not being a line in the Fano plane.



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## Theorem (Fortier, K., Szigeti, Tanigawa)

The conjecture is **true** when the matroid  $\mathcal{M}_1$

- has rank at most 2 or
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The conjecture is **false!**

The corresponding decision problem is **NP-hard**.

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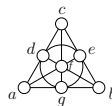
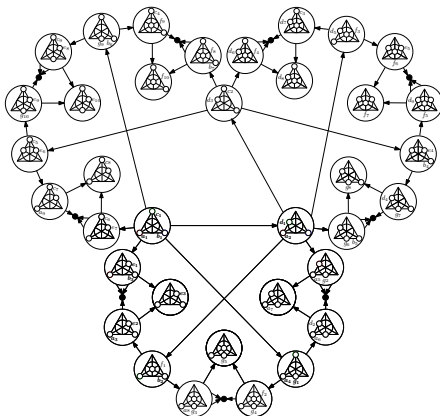
The corresponding decision problem is **NP-hard**.

## Counterexample

Digraph : acyclic, in-degree 3 for all  $v \in V$ , 46 nodes and 135 arcs,

Matroid : parallel extension of Fano with 64 elements,

Remark : matroid-based packing of  $(s, t)$ -paths exists for all  $t$ .





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The conjecture is *true* when the matroid  $\mathcal{M}_1$

- has *rank at most 2* or
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- $\mathcal{M}_1$ -based packing of *spanning s-trees*: same results by orientation and the structure of the counterexample
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$P(X) = \{v \in V : \exists \text{ a one-way path from } v \text{ to } X\}$ . ( $X \subseteq P(X)$ )

$\mathcal{M}_1$ -reachability-based packing of  $(s, t)$ -paths: if the root arcs form a base of  $\mathcal{M}_1|_{\partial_s(P(t))}$ .

$\mathcal{M}_1$ -reachability-based packing of  $s$ -arborescences: if the packing of  $(s, t)$ -paths provided by the arborescences is  $\mathcal{M}_1$ -reachability-based  $\forall t \in V$ .

### Theorem (K.)

*In a matroid-rooted digraph  $(D = (V + s, A), \mathcal{M}_1)$  there exists an  $\mathcal{M}_1$ -reachability-based packing of  $s$ -arborescences iff*

$$\varrho(X) \geq r_1(\partial_s(P(X))) - r_1(\partial_s(X)) \quad (\forall X \subseteq V).$$

# Definitions

**Biset**  $X = (X_O, X_I)$ :  $X_I \subseteq X_O \subseteq V$

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$X$  and  $Y$  are **intersecting** =  $X_I \cap Y_I \neq \emptyset$

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# Matroids from submodular bi-set functions

## Theorem

Let  $D = (V, A)$  be a digraph and  $b : \mathcal{P}_2 \rightarrow \mathbb{Z}_+ \cup \{\infty\}$  an intersecting submodular bi-set function. Then

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# Matroid structure behind reachability-based packings

We give the construction directly for  $\mathcal{M}_2$ -restricted  $\mathcal{M}_1$ -reachability-based packings.

## Assumptions

- (A1)  $\partial_s(v)$  is independent in  $\mathcal{M}_1$  for every  $v \in V$ ;
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These can be assumed by simple modifications of the input (adding an extra vertex in the middle of each root arc and truncating  $\mathcal{M}_2$ .)

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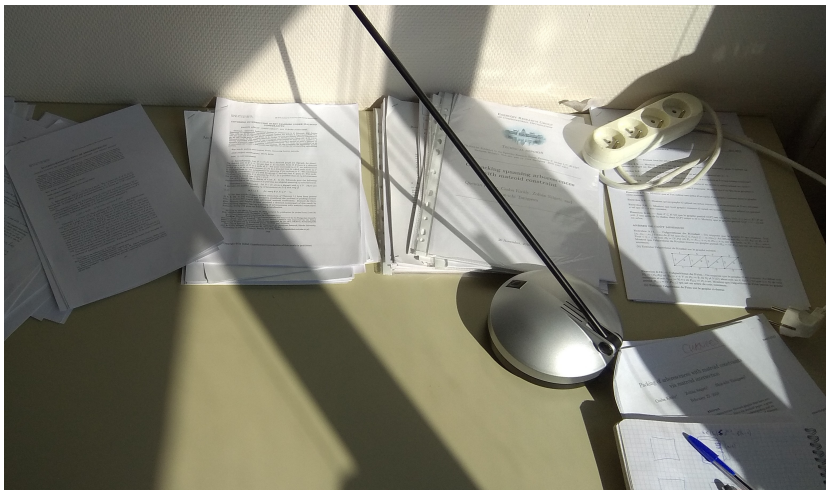
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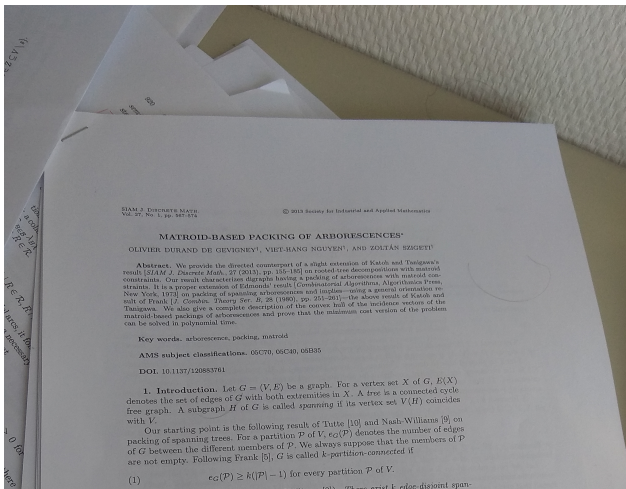




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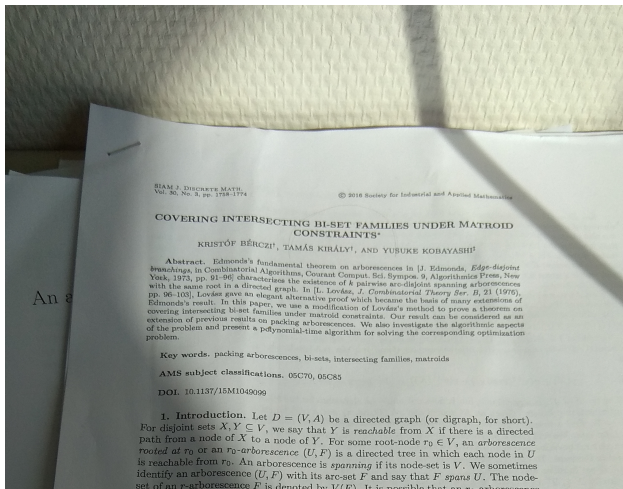
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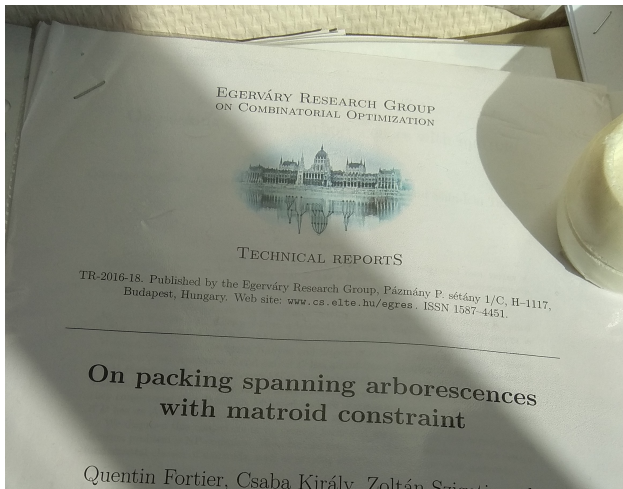
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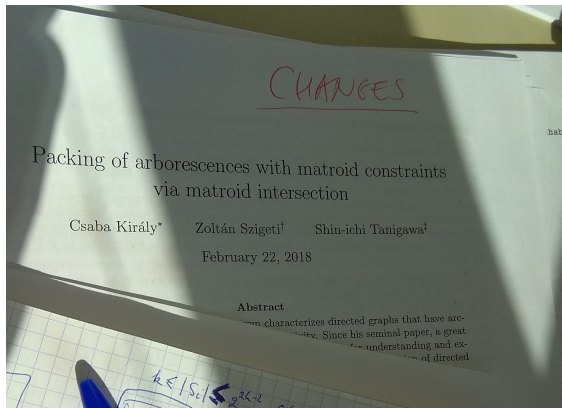
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Thank you for your attention!  
Happy Birthday Zoli!